



09-26-03

EXPRESS MAIL NO. EV322527976US  
Attorney Docket No. LR001  
Client/Matter No. 68209.0001

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No.	09/522,481	
Applicant:	Edward Pinto	Confirmation No. 5694
Filed:	March 10, 2000	Title: SYSTEM AND METHOD FOR PROCESSING A SECURED COLLATERAL LOAN
TC/A.U.	3624	
Examiner:	Jeffrey C. PWU	
Docket No.	LR001	Customer No. 25235

**RECEIVED**  
OCT 02 2003  
**GROUP 3600**

CERTIFICATE OF MAILING BY EXPRESS MAIL

MAIL STOP APPEAL BRIEF – PATENTS  
Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

Sir:

The undersigned hereby certifies that the following documents:

- Transmittal of Brief on Appeal Under 37 C.F.R. § 1.192;
- Appeal Brief (14 pages) (w/three copies);
- Petition for Extension of Time (1 mo.);
- Fee Transmittal with 2 checks (\$320.00 filing fee) and (\$110.00 extension fee);
- Certificate of Mailing by Express Mail; and
- Return Receipt Post Card

relating to the above application, were deposited as "Express Mail", Mailing Label No. EV322527976US with the United States Postal Service, addressed to The Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450, on September 25, 2003.

9/25/03

Date

9/25/03

Date

Stuart Langley  
MailerStuart Langley

Stuart T. Langley, Reg. No. 33,940  
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Atty. Docket No. LR001  
Client Matter No. 68209.0001  
Express Mail Label No. EV322527976US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE BEFORE THE  
BOARD OF PATENT APPEALS AND INTERFERENCES

In re Application of:

Edward Pinto

Serial No. 09/522,481

Filed: March 10, 2000

For: SYSTEM AND METHOD FOR  
PROCESSING A SECURED  
COLLATERAL LOAN

Examiner: Jeffrey C. PWU

Art Unit: 3624

**RECEIVED**  
OCT 02 2003  
**GROUP 3600**

**TRANSMITTAL OF BRIEF ON APPEAL UNDER 37 C.F.R. § 1.192**

Mail Stop Appeal Brief – Patents  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Please find enclosed an original Brief on Appeal and three copies for the above application. Also, please find enclosed a check in the amount of \$320.00. Any fee deficiency associated with this submittal may be charged to Deposit Account No. 50-1123.

Respectfully submitted,

Stuart T. Langley  
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September 25, 2003



# EE TRANSMITTAL for FY 2003

TOTAL AMOUNT OF PAYMENT (\$) **(\$430.00)**

## Complete if Known

Application Number	09/522,481
Filing Date	March 10, 2000
First Named Inventor	Edward Pinto
Examiner Name	Jeffrey C. PWU
Group / Art Unit	3624
Attorney Docket No.	LR001

## METHOD OF PAYMENT (check one)

1.  The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number	<b>50-1123</b>
------------------------	----------------

Deposit Account Name **Hogan & Hartson L.L.P.**

- Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17  
 Applicant claims small entity status. See 37 CFR 1.27

2.  Payment Enclosed:

Check       Credit Card       Money Order       Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001	750	2001 375 Utility Filing Fee	
1006	330	2006 165 Design filing fee	
1007	520	2007 260 Plant filing fee	
1008	750	2008 375 Reissue filing fee	
1005	160	2005 80 Provisional filing fee	

**SUBTOTAL (1) (\$0.00)**

### 2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims	-20**=	<input type="text"/>	= 0
Multiple Dependent	-3**=	<input type="text"/>	= 0

\*or number previously paid, if greater; For Reissues, see below

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
1202	18	2202 9 Claims in excess of 20
1201	84	2201 42 Independent claims in excess of 3
1203	280	2203 140 Multiple dependent claim, if not paid
1204	84	2204 42 **Reissue independent claims over original patent
1205	18	2205 9 **Reissue claims in excess of 20 and over original patent

**SUBTOTAL (2) (\$0.00)**

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105	130	205 65 Surcharge – late filing fee or oath	
127	50	227 25 Surcharge – late provisional filing fee or cover sheet	
139	130	139 130 Non-English specification	
147	2,520	147 2,520 For filing a request for ex parte reexamination	
112	920*	112 920* Requesting publication of SIR prior to Examiner action	
113	1,840*	113 1,840* Requesting publication of SIR after Examiner action	
115	110	215 55 Extension for reply within first month	110.00
116	410	216 205 Extension for reply within second month	
117	930	217 465 Extension for reply within third month	
118	1,450	218 725 Extension for reply within fourth month	
128	1,970	228 985 Extension for reply within fifth month	
119	320	219 160 Notice of Appeal	
120	320	220 160 Filing a brief in support of appeal	
121	280	221 140 Request for oral hearing	
138	1,510	138 1,510 Petition to institute a public proceeding	
140	110	240 55 Petition to revive – unallowable	
141	1,300	241 650 Petition to revive – abandoned	
142	1,300	242 650 Utility issue fee (or reissue)	
143	460	243 230 Design issue fee	
144	630	244 315 Plant issue fee	
122	130	122 130 Petitions to the Commissioner	
123	50	123 50 Processing fee under 37 CFR 1.17(q)	
126	180	126 180 Submission of Information Disclosure Stmt	
581	40	581 40 Recording each patent assignment per property (times number of properties)	
146	750	246 375 Filing a submission after final rejection (37 CFR § 1.129(a))	
149	750	249 375 For each additional invention to be examined (37 CFR § 1.129(b))	
179	750	279 375 Request for Continued Examination (RCE)	
169	900	169 900 Request for expedited examination of a design application	
Other fee (specify)			

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3) (\$430.00)**

SUBMITTED BY Complete (if applicable)		Registration No. (Attorney/Agent)	Telephone	Date
Name (Print/Type)	<b>Stuart T. Langley</b>	33,940	720-406-5335	
Signature	<i>Stuart Langley</i>			9/25/03